UWEC 910.A, Funding Charter Process

Last Modified on 02/10/2023 3:30 pm CST

Procedure #: UWEC 910.A

Intent

The Funding Charter process was established document and decision requests for central funding sources. This procedure supports UWEC 910, Fiscal Administration and aims to explain the process for submitting a Funding Charter request and administering an approved project or initiative.

Scope

No funds should be spent or committed prior to the issuance of the Funding Charter approval.

A Funding Charter authorizes funding and not the authority to make personnel changes of any kind. If the project funding includes salary expenses, all Unit, Division and UW-Eau Claire Human Resource processes and approvals must be completed before a funding transfer is completed.

Appropriate approval must be obtained if purchase orders and contracts are required.

Definitions

Central funds: budget funds managed by the Chancellor and Executive team for campus wide initiatives and strategic investments

Funding Charter: a written approval granting central funds for an initiative or project

Procedures

- Submitting a Funding Charter Request
- Follow-up for Approved Funding Charters
- Amending an Approved Funding Charter

Submitting a Funding Charter Request

<table>
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<th>Step</th>
<th>Action</th>
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<tbody>
<tr>
<td>1.</td>
<td>Develop and refine your Funding Charter idea by discussing with your supervisor and examining funding sources outside of central funds</td>
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<td>2.</td>
<td>Complete and submit a Funding Charter Form.</td>
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3. The request will route through various approval stages specific to the elections made in the form. Once a decision is entered, the requestor will receive an email with the decision.

4. **If approved** | the requestor will receive a request for funding through the eform, then continue with the steps under Follow-up for Approved Funding Charters below

   **If denied** | work with your supervisor to determine if the request should be changed, refined, funded by other sources, or resubmitted at a future date.

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**Follow-up for Approved Funding Charters**

Work with your supervisor to establish launch and milestone dates for outcomes. Work with your Divisional leadership to establish quarterly review meetings to discuss:

- Project progress,
- Confidence level of completion,
- Spend of the allocated budget, and
- Next steps if the project has changed or is no longer applicable.

Quarterly meetings should include:

- Divisional leader (Executive Staff member)
- Dean or Director
- Vice Chancellor for Finance and Administration
- Budget Officer

**Amending an Approved Funding Charter**

Complete and submit a new Funding Charter Form. Select **Scope Amendment** for the **Scope** line of the **Project Budget & Funding** section. The form will route for approval.

**Resources**

- Practice Directive UWEC 910, *Fiscal Administration*
- Funding Charter Form
- Budget & Resource Planning SharePoint site
# Procedure Administration

<table>
<thead>
<tr>
<th>Version Number</th>
<th>Approval Authority</th>
<th>Approval Date</th>
<th>Next Review Date</th>
<th>Division</th>
<th>Department</th>
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<tr>
<td>V.10</td>
<td>Vice Chancellor for Finance &amp; Administration</td>
<td>September 1, 2022</td>
<td>September 1, 2023</td>
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<td>Budget and Resource Planning</td>
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## Revision History

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<tr>
<th>Version</th>
<th>Revision Date</th>
<th>Description of Change</th>
<th>Author(s)</th>
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<tr>
<td>1.0</td>
<td>September 1, 2022</td>
<td>Initial issuance</td>
<td>Tracy Drier</td>
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